IAP13 Rec'd PCT/PTO 06 NOV 2006

FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10

Form PTO- (REV 10-95		Department of Commerce Patent and Trademark Office	ATTORNEY'S DOCKET NUMBER EL/2-22880/A/PCT				
	TRANSMITTAL LETTER TO 1 DESIGNATED/ELECTED O	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)					
	CONCERNING A FILING UN	IDER 35 U.S.C. 371	10/553,775				
	NATIONAL APPLICATION NO. P 04/050606	INTERNATIONAL FILING DATE April 26, 2004	PRIORITY DATE CLAIMED May 5, 2003				
	OF INVENTION	for use in entired devices					
	polymers comprising benzotriazole CANT(S) FOR DO/EO/US	for use in optical devices					
	ian Rogers, Michael Robert Craig a	nd Thomas Schäfer					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1. □ 2. ☑ 3. □	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.						
4. 🗆							
5. -							
7. -	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C.371(c)(3)). a. □ are transmitted herewith (required only if not transmitted by the International Bureau). b. □ have been transmitted by the International Bureau. c. □ have not been made; however, the time limit for making such amendments has NOT expired. d. □ have not been made and will not be made. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).						
9. ☑ 10. □							
Items 11. to 16. below concern document(s) or information included.							
11. 🗆	An Information Disclosure Statement un	der 37 CFR 1.97 and 1.98.					
12. 🗆	An assignment document for recording.	A separate cover sheet in compliance	with 37 CFR 3.28 and 3.31 is included.				
13. 🗆	A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment.						
14. 🗆	A substitute specification.						
15. 🗆	A change of power of attorney and/or address letter.						

16. ☐ Other items or information: (See attached Form PCT/ISA/210)

Amount to be charged: 130.00 DA a. A check in the amount of \$	U.S. APPLICATION NO	. (if known, see 37 C	FR 1.5)	INTERNATIONAL APPLICATION NO.	A	TTORNEY	'S DOCKET NUMBER		
17. The following fees are submitted:	10/553,775			PCT/EP 04/050606 EL/2-22		880/A/PCT			
Basic national fee		llowing fees a	re submitted:						
Examination fee. Search Fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority. 400.00					¢a	00.00		+	
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If International Search Report was prepared and provided to the Office \$400.00							!		
TOTAL OF ABOVE CALCULATIONS= Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction linered. Total Sheets									
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540 White Plains Road P.O. Box 2005 Tarrytown, NY 10591-9005 Date: November 6, 2006 56,880	JoAnn Villamizar, Ciba Specialty Chemicals Corporation								
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	Date: November 6, 2006 BEGISTRATION NUMBER					ARED.			

Page 2 of 2 41 6/97



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEFARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS -P.O. Box 1450 Alexandria, Virgnia 22313-1450 www.uspto.gov

10/553,775

U.S. APPLICATION NUMBER NO.

Jonathan Rogers

FIRST NAMED APPLICANT

ATTY. DOCKET NO. EL/2-22880/A/PCT

INTERNATIONAL APPLICATION NO. PCT/EP04/50606

I.A. FILING DATE

PRIORITY DATE

04/26/2004

05/05/2003

CIBA SPECIALTY CHEMICALS CORPORATION DeCecchis PATENT DEPARTMENT 540 WHITE PLAINS RD P O BOX 2005 TARRYTOWN, NY 10591-9005

RECEIVED

Docket Coordinator Patent Department

CONFIRMATION NO. 7722 371 FORMALITIES LETTER *OC000000020292685*

Date Mailed: 09/05/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/20/2005
- Copy of the International Search Report filed on 10/20/2005
- Preliminary Amendments filed on 10/20/2005
- U.S. Basic National Fees filed on 10/20/2005
- Priority Documents filed on 10/20/2005

SEP 2006 . In the G. Rottmere

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION,

EL12- 22880/A/PG

WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/553,775	PCT/EP04/50606	EL/2-22880/A/PCT

FORM PCT/DO/EO/905 (371 Formalities Notice)

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